香港中文大学 (深圳) 高等金融研究院 2023 学年全日制硕士招生手册





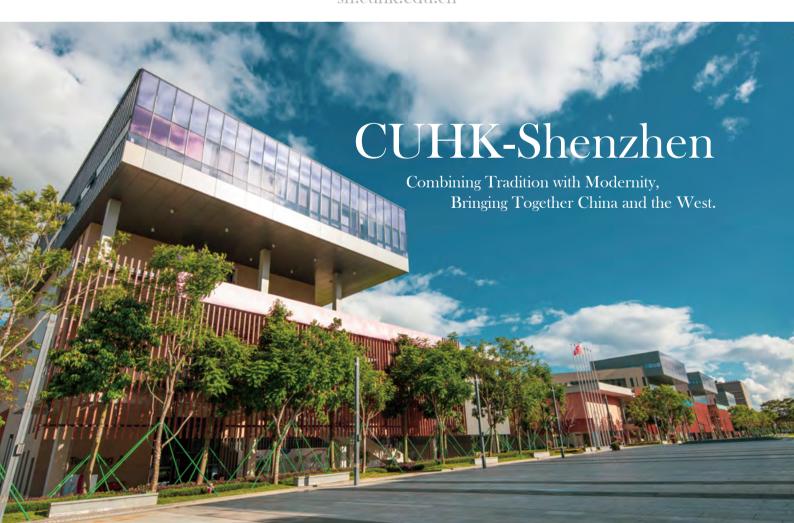
SIENZHEN

Postgraduate
Prospectus
2023





SHENZHEN FINANCE INSTITUTE sfi.cuhk.edu.cn



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Academic Dean, Shenzhen Institute of Data Economy

Professor, Princeton University

院长寄语

■经40年改革开放,中国跃居世界第二大经济体; 过去十年,中国贡献 1/3 强的世界经济增长,拉 动全球复苏; 近五年, 中国智慧、中国方案助力 全球治理,推动经济发展。中国改革进入深水区,金融改 革正在路上。

天下之治,有因有革,期于趋时适治。当前中国经济 进入新常态,过去依靠投资和出口拉动的粗放式增长模式 无法持续,必须转为依赖金融、医疗、教育和高科技等产 业,才能跨越中等收入陷阱迈向高收入国家。而金融是现 代经济的血脉,因此国家经济转型有赖于金融业的变革发 展。在此进程中,具有先进知识和国际视野的高级经济和 金融人才成为推动转型的关键因素。

深圳高等金融研究院以培养优秀金融研究和实务相结 合的高端复合型人才为己任, 自成立伊始即以培养创新拔 尖人才、搭建高水平研究和交流平台、建设高端决策智库 为使命。现时深高金已初步汇聚形成兼具全球视野与本土 智慧的理事和研究团队。博观而约取,厚积而薄发。我们 将培养学生朝乾夕惕的治学态度,博学之、审问之、慎思 之、明辨之、笃行之; 培育学生的国际视野, 使之成为精 益求精、善于创新、高瞻远瞩、具备寰球视野并致力改善 企业和社会命运的全球领袖人才。

金融是一个国家的重要核心竞争力, 而风险管理则为 其要义。金融科技浪潮涌动,金融业创新发展加快脚步, 而伴随金融体系复杂度与开放程度越来越高,风险也随之 积聚。金融安全对于经济发展、社会稳定、国家安全的意 义愈来愈重大。

因时应势,深高金建立了"宏观金融稳定与创新研究 中心"、"金融科技与社会金融研究中心"、"制度与资 本市场研究中心"、"经济数据研究中心"等,望能集合 智慧,信而有征,产生一系列对金融和经济发展具有重大 理论价值和现实意义的研究成果,推动金融更好服务实体 经济,有效防范和化解金融风险,助力深化金融改革,促 进经济和金融良性循环健康发展。

九层之台,起于垒土;合抱之木,生于毫末。深高金 将承香港中文大学之深厚积淀,扬东西方之所长,笃学笃 行,努力成为世界一流的金融学院,为人类福祉贡献力量。

Director's Message

fter forty years of economic reform, China is now the world's second largest economy, and it increasingly plays a pivotal role in world affairs. Over the past decade, China has contributed to more than one third of the world's total economic growth, helping drive a global recovery. In the past five years, China has spearheaded innovative ideas and solutions that have boosted economic development around the world. China's reform goes into great depth and the opening up of its financial sector is underway.

Now, China's economic reform has entered a new phase. The old Chinese saying, "All good principles must comply with the changing times if they wish to remain relevant," reminds us that these new challenges must be analyzed and overcome. China's traditional approach to economic growth, which has depended largely on investments and exports, is no longer applicable in today's economy. Now, more than ever, significant adjustments to the economy's progress must be driven by industries such as finance, medicine, education, and technology for sustainable growth as the country transitions from middle-income to highincome status. Finance, in particular, is vital to any modern economy. Focusing on the development of the finance sector is therefore essential to China's ongoing economic reform. This moment calls for a new generation of business leaders and scholars who possess a deeper understanding of China's economy and financial system in a global environment.

Fortunately, our values are in sync with the times. Shenzhen Finance Institute (SFI, thereafter) trains future business leaders through engaging, innovative approaches to learning that will ensure their professional success in the financial industry. It is our mission to prepare students for leadership roles and foster their entrepreneurial spirit; to conduct advanced research and encourage academic exchanges that promote better financial practices; and to function as a state-of-the-art think-tank that can help stimulate further economic development. Our internationalized faculty, researchers, and administrators form a vibrant academic community that offers both local insights

and global outlook. At the same time, we maintain high levels of research productivity and guarantee that our students receive quality education through effective and essential classroom instruction. We inspire our students to study broadly and deeply, to think critically and analytically, and to work creatively and productively. We encourage them to develop a strong moral and ethical character while pursuing excellence in their studies. The goal is for our students to become future business leaders with a global mindset and an abiding concern for society.

Finance is a country's core competitive strength, and risk management is the essence of finance. The revolutions in financial technology, the accelerated pace of financial innovations and development, and the increasing complexity and openness of financial systems have caused risks to accumulate exponentially. Consequently, financial stability has become more and more essential for a country's economic development, social stability, and national security. To rise and meet the current tides, SFI has established the Centers for Macro-Financial Stability and Innovation, FinTech and Social Finance, Institutions and Capital Market, and Economic Data Research, among others. We hope to convey our wisdom and show our strength by generating a series of research initiatives that are rich in theory and valuable in practice. This way, we can better serve our nation's interests, guard against financial instability, deepen financial reforms, and promote the development of a healthy economy.

The saying, "mighty oaks grow from little acorns", applies to the Shenzhen Finance Institute, as we carry on the commitment of the Chinese University of Hong Kong to rigorous education and high academic standards. We will continue to provide outstanding business education, while promoting cutting-edge research in finance. Meanwhile, we will work toward our goal of becoming a world-class institute that will prove instrumental to China's success as a key player on the global stage.



SHI Institute Overview

深圳高等金融研究院简介

为顺应世界主流学界、业界对兼具"国际高 度、中国深度"研究交流平台之期待,对应未 来世界金融经济格局深刻调整所带来之机遇和挑 战,香港中文大学(深圳)在深圳市的支持下创 办深圳高等金融研究院(以下简称深高金),致 力于将其建成金融经济领域颇具国际影响力的创 新拔尖人才培养基地、国际高水平研究平台、国 际高端学术交流平台和高端决策智库。具体职责

Shenzhen Finance Institute (SFI) was established by The Chinese University of Hong Kong, Shenzhen (CUHK-Shenzhen) with the support of Shenzhen. We aim to satisfy academia and industries worldwide by building a platform with a "global vision and Chinese focus" for research and communication, and tackle opportunities and challenges brought by the upcoming changes in the world's financial and economic structures. SFI is committed to nurturing high-end talents in finance and economics, conducting high-level research, establishing a global exchange platform, and functioning as a state-of-the-art think-tank. Our mission and vision are articulated below:



培养创新拔尖人才 Nurture high-end talents

深高金依托香港中文大学(深圳)开展经济金融与管理领域的硕士学位教育及非学位的高层管理培训。 其中,硕士学位教育分为全日制和非全日制,全日制硕士项目含:**金融学理学、经济学理学、会计理学、数** 据科学理学、市场学理学以及信息管理与商业分析理学硕士项目。非全日制硕士项目含:管理学理学、金融 学理学以及金融 EMBA。全日制硕士项目和非全日制硕士项目毕业均颁发教育部认证的"香港中文大学"硕

SFI collaborates with CUHK-Shenzhen to offer several Master's degree programs in the areas of economics, finance and management, full-time (MSc in Finance, MSc in Economics, MSc in Accounting, MSc in Data Science, MSc in Marketing and MSc in Information Management and Business Analytics) and part-time (MSc in Business Management, MSc in Finance and Finance EMBA), as well as non-degree executive education programs. You can rest assured that all courses are closely monitored for quality, resulting in CUHK degrees for both full-time and part-time master's programs.

开展高水平研究 Conduct high level research

深高金成立若干研究中心;与海内外学府、政策和金融机构等联合设立博士后项目,招募国内外优秀青 年学者研究中国尤其是深港经济和金融市场发展中遇到的理论和政策性问题。

SFI has established a growing list of research centers and collaborated with universities, policy and financial institutions from home and abroad to set up postdoctoral research programs. We also recruit prominent researchers from home and abroad. The aim is to study critical theoretical issues and policy decisions regarding the Chinese economy, focusing on the Shenzhen and Hong Kong markets.

创建国际交流平台 Establish a global exchange platform

定期举办高水平国际学术会议;创建学术交流平台 VoxChina.org,聚焦世界经济金融领域热点问题, 致力干发布并分享国内外知名学者关于中国经济发展的最新研究成果。

SFI hosts a wide range of international academic conferences, and has co-hosted symposiums with internationally renowned institutions, such as the International Monetary Fund (IMF), Princeton University, and the National Bureau of Economic Research (NBER). In addition, SFI also initiated VoxChina.org, a well-regarded academic exchange platform that publishes advanced scholarly research that addresses varied issues concerning China's economic development, while meeting international academic standards for research excellence. SFI hosts a wide range of international academic conferences and also initiated VoxChina.org, a well-regarded academic exchange platform that publishes advanced scholarly research. SFI addresses varied issues concerning China's economic development while meeting international academic standards for research excellence.

承担金融智库功能 Function as a state-of-the-art think-tank

各研究中心关注经济金融领域前沿问题,并承担政策机构相关课题研究。

Each research center focuses on frontier issues in the economic and financial fields, and undertakes research on related topics of public policy institutions.

与金融机构等广泛合作 Collaborate with financial institutions

开展多种形式的交流与合作,促进行业进步,推动社会发展。

SFI carries out various exchanges and collaborations to promote industry progress and social development.

学在香港中文大学(深圳)

学术环境 Academic Environment

与知名教授和优秀学生携手实现目标 Achieve your goals with prestigious professors and elite Chinese students

教学模式 Teaching Model

香港中文大学(深圳)是一所经国家教育部批准,按中外合作办学条例设立的大学。香港中文大学(深 圳)提供现代化和国际化的教育环境。每一学年分为两个学期,每学期大约15周,寒假大约在每年的1 月初,暑假从6月持续至8月。课程形式丰富多样,包含小班课程和集体课程讲座,并根据课业和考试 分别对学生进行评估。

所有课程均经过严格的质量把控,学生将在此接受国际化的教育,**全日制硕士项目学生须完成硕士** 项目课程及研究生国情教育课程(国际生不适用),且达到毕业成绩要求后则可毕业。毕业颁发教育部认 证的"香港中文大学"硕士学位证书。

The Chinese University of Hong Kong, Shenzhen was founded in accordance with the Regulations of the People's Republic of China on Chinese-foreign Cooperation in Running Schools upon approval by the Ministry of Education. CUHK-Shenzhen offers a modern international educational environment. The academic year is divided into two around 15-week terms each year, the winter vacation being held in early January and Summer from June until August. Classes vary from small group seminars to mass lectures and is assessed separately by coursework and exams. Regardless of class style, the focus is on student learning.

Full-time master's program students are required to complete Programme Courses and Civic Education Courses (not applicable to the international students), and reach the required academic performance for graduation. With all courses closely monitored for quality, you can rest assured of globally renowned standards which will culminate in graduating with a CUHK degree.



The Chinese University of Hong Kong



师资力量 Academic Staff

大学面向全球招聘,已建立一支具国际水平的师资队伍,截至 2022年9月底,已面向全球招聘引进了520余名国际知名优秀学者 和研究人员,其中包括诺贝尔奖得主 4 名,图灵奖得主 2 名,菲尔 兹奖1名,各国院士近30名,美国电气电子工程师学会、美国工业 与应用数学学会、美国运筹学和管理学研究协会、国际计算机学会 等国际知名专业技术协会会士近40名。目前引进的教师100%具有 在国际一流高校执教或研究工作经验,是一批具有国际视野、富有 创新精神和教书育人热忱的优秀教师。

The University's global recruiting has gathered the world's leading scholars and scientists here at CUHK-Shenzhen. By September 2022, nearly 520 distinguished scholars have joined our faculty team, each of which has had teaching or research experiences overseas, including 4 Nobel laureates, 2 Turing Award laureates, 1 Fields Medal winner. Together with their knowledge and expertise, they bring to CUHK-Shenzhen their vision, creativity, passion and dedication for education.

研究领域 Research

香港中文大学(深圳)建校以来,以创新驱动为指引,以粤港澳 大湾区需求为导向,研究领域契合了国家战略发展需求,涵盖了地方 新兴产业和未来产业发展方向,包括人工智能与机器人、大数据与数 据科学、信号与信息处理、类脑研究、生物医药、生物信息、先进材 料、新型电子元器件、智能电网、新型网络、金融物流等。

Rising up to the challenges and opportunities of the Guangdong-Hong Kong -Macao Greater Bay Area and modern China at large, CUHK-Shenzhen is strengthening its research teams of experts and professionals in big data and data science, future intelligent network, bioinformatics, new materials and energy, finance and logistics, robotics and intelligent manufacturing, drug discovery and precise medicine, and other areas of sci-tech innovation.



香港中文大学 2022 年 QS 世界大学排名中 位列第 39 名 **CUHK** ranked 39th according to QS World **University Rankings**

2022



学校面向全球 招聘一流师资 Over 520 world's leading scholars and scientists gather at **CUHK-Shenzhen**



大学目前已与 120 多 所国际知名院校 签订合作协议

We are in close collaboration with over 120 partner universities across the globe

729

来自全球的 9000 多名 优秀本科生和研究生 已经在香港中文大学 (深圳)开始学业

CUHK-Shenzhen is home to over 8,000 undergraduate and postgraduate students

校园占地面积约 100 万 平米,环境优美 空气清新,是学子的 理想深造之地

Our campus covers an area of 1 million m where nature and culture blend



Finance 金融学理学硕士项目



李丹 教授 Prof. Dan LI

金融学理学硕士项目主任 约克大学金融学博士 Director of MSc Programme in Finance Ph.D., Finance, York University

主仟寄语

港中文大学(深圳)金融学理学硕士项目 传承香港中文大学严谨的学术及教学标准, 采用国际教育标准、全英文授课模式,整合国际 -流学术教授与业界师资,深度结合全球视野与 中国实务,实现理论与实践的高度统一,完整搭 建金融经济领域最前沿的系统知识,培养金融领 域内具有竞争力的应用型人才。

2022 学年开始金融学理学硕士项目为全日制 学生提供两个方向的课程: 金融科技方向和金融 管理方向。两个方向协同发展,满足金融领域对 人才的全面需求。我们欢迎每一位对金融有兴趣 的同学来了解我们的师资、课程与丰富多彩的校 园生活。

Message from the Programme Director

Welcome to the Master of Science Programme in Finance! Our programme is a rigorous and highly-selective programme that inherits the academic rigor of The Chinese University of Hong Kong with an international education standard and an English immersion learning environment. Our unique approach to instruction comes from our world-class faculty conducting cutting-edge research across modern financial topics, together with senior level practitioners from top-tier financial institutions. Students absorb not only financial theory but also latest market practices across both domestic and international financial sectors

Beginning with the 2022 intake, we will reorganize the program into two concentrations, FinTech Concentration and Financial Management (FM) Concentration, to allow students to tailor their financial skill development. We invite you to explore these pages to discover our faculty, curriculum, special events and other resources you will encounter in your exciting life in CUHK-Shenzhen.

项目简介 Programme Overview

金融学理学硕士项目兼具全球视野与中国实 务,配备国际名校一流师资,旨在培养能够适应 不断变化发展的金融市场所需要的复合型、创新 型和应用型人才。项目采用英文授课,产教融合, 课程内容分为金融科技方向与金融管理方向,两 个方向协同发展,满足金融领域对人才的全面需 求。学生可根据自己的职业规划或兴趣爱好选择 学习方向。

The programme aims to cultivate exceptional, highskills professionals with both deep theoretical training and practical capabilities. Courses are led by a combination of world-class, international-trained faculty, and highly-accomplished senior financial practitioners. The programme offers two concentrations, FinTech and Financial Management, allowing students to develop specialized skills for the financial industry. Students may declare their concentrations with their initial application to the programme.

金融科技方向涉及多学科、多领域,课程 内容覆盖金融学以及人工智能、区块链、大数据 等现代信息科技知识,侧重应用与实践,将现代 信息技术应用于金融商业场景,培养应用型金融 科技人才。该方向申请者需具备一定的数理逻辑 能力及编程能力,但不限本科或硕士(如有)专 业方向。

The FinTech Concentration emphasizes the new applications of technology in financial services. It provides both a broad and in-depth view of various Fintech topics (e.g., machine learning, blockchain, big data) and allows students to develop programming skills. The new FinTech concentration will help students learn the skills to innovate and build the financial technologies of the future. This concentration welcomes students with a quantitative aptitude from a variety of fields, including but not limited to Engineering, Mathematics, Finance and Business.

金融管理方向注重搭建金融经济领域最前 沿的系统知识框架,提供经济,金融与会计财务 等基础理论和研究方法等课程,紧密结合中国实 践,重视对当代中国经济,金融形势的解读,为 金融监管部门,各类金融机构和研究机构等就业 渠道培养具备较强研究能力和创新实践能力的人 才。该方向申请者不限本科或硕士(如有)专业

The Financial Management Concentration

offers courses with a deep foundation of theory, supplemented with practical applications. This Concentration is designed to meet the needs of professionals in the rapidly changing fields of finance and investment. The curriculum provides training for a wide variety of financial careers, not only in traditional areas such as banking, mutual funds, brokerage and investment banking firms, but also in related fields of regulatory, government, and academics. This concentration is appropriate for all students who are interested in advanced training across the breath of modern Finance.

Faculty 师资力量

项目拥有一批学贯中西,曾毕业于或任职于海内外国际知名院校并拥有博士学位的优秀学者。 Our programme have a group of outstanding scholars, all of whom have graduated from or taught in the world's top universities.

ACADEMICS 学术导师



24 名 权威师资

Distinguished Professors

100% 海内外博士学位

PhDs degrees

他们曾毕业于(或任教于) They have graduated from or taught in, 美国威斯康星大学麦迪逊分校 University of Wisconsin-Madison 耶鲁大学 Yale University 范德堡大学 牛津大学 康奈尔大学 University of Oxford Vanderbilt University Cornell University 哥伦比亚大学 犹他大学 清华大学 Columbia University University of Utah Tsinghua University 女王大学 Queen's University 伦敦政治经济学院 香港科技大学 London School of Economics and Political Science Hong Kong University of Science & Technology 波士顿学院 Boston College 加州大学洛杉矶分校 纽约市立大学 纽约大学 普林斯顿大学 University of California Los Newyork University City University of New York Princeton University 新加坡南洋理工大学 加利福尼亚理工学院 Nanyang Technological University, Singapore 约克大学 California Institute of Technology York University 马里兰大学帕克<mark>分校</mark> University of Maryland, College Park — 德克萨斯大学奥斯汀分校 杜克大学 University of Texas at Austin Duke University 佐治亚大学 University Of Georgia * 数据截止于 2022 年 7 月 / Data as of July 2022







PRACTITIONERS 业界导师

项目强大业界导师团队由众多国内外知名金融机构及科技公司高层和精英组成,让同学们 零距离接触业界大咖,共探行业未来发展机遇与挑战。

Our highly- accomplished senior practitioners consist of many high-level executives and elites from the well-known financial institutions and technology companies in the world, which allows students to supplement with practical applications involving both domestic and international financial sectors.

业界翘楚

Highly-accomplished senior practitioners

他们均任职重要岗位,包括首席经济学家、合伙人、董事及董事长、 理事长、总经理、部门负责人、首席架构师等。

They all hold important positions, including Chief Economists, Partners, Directors and Chief Executives, Chairman of the Board, General Managers, Heads of the Department, Chief Architects, etc.

他们任职于(或曾任职于)

They are working for (or worked at),

央行货币政策委员会 国务院发展研究中心 中国人民银行 国家金融与发展实验室

深圳证券交易所

The People's Bank of China Monetary Policy Committee **Development Research Center of** the State Council The People's Bank of China **National Institution for Finance** and Development Shenzhen Stock Exchange

美银美林 摩根大通 高盛 中银国际 野村 东方证券 花旗银行

Bank of China International

Solomon Brothers Haitong International China Merchants Securities China Merchants Bank

6108 业界导师 FIN6108 **Practitioners**

> 金融沙龙 FINest Salon

平安金融科技 微众银行 京东金融

蚂蚁金服

Ping An Fintech WeBank Jingdong Finance Ant Group

高瓴 蓝驰创投 淡马锡 东方富海

复星同浩

Hillhouse Group BlueRun Ventures Oriental Fortune Temasek Fosun Tonghao Capital

Jingtian & Gongcheng

必修课程 工作坊 **Core Course** Workshop

课程设置 Curriculum

金融科技 方向 FinTech

公共必修课 Required Core Courses 4 门 /12 学分 专业必修课 Required Concentration Courses 4 门 /12 学分 选修课 Elective Courses 4 门 /12 学分 **36** 专业学分 Major Credits

金融管理 方向 FM

公共必修课 Required Core Courses 4 门 /12 学分 专业必修课 Required Concentration Courses 3 门 /9 学分 选修课 Elective Courses 5 门 /15 学分 **36** 专业学分 Major Credits

学期安排 Study Plan	金融科技 FinTech	金融管理 FM
		nting for Finance
预备课程 (不计学分)	金融学导论 Intro	* 以实际开 duction to Finance ** 以实际开 课为准
Pre-term	数量方法 Quan	* Subject to the actual titative Methods
Courses	Python: 金融数据分析 Pytho	on for Financial Data Analysis
		I Reporting and Analysis
公共必修课		n & Fundamentals of Finance
Required Core		
Courses		rivatives Markets
	投资管理及分析 Investmer	nt Management and Analysis
专业必修课 Required Concentration Courses	 金融科技分析: 进阶编程与大数据 金融科技理论与实践 金融科技与人工智能 金融区块链 FinTech Analytics: Advanced Programming and Big Data FinTech Theory and Practice Artificial Intelligence for FinTech Blockchain in Finance 	 电子表格 /VBA 金融建模 投资组合和资产管理 固定收益证券分析 Spreadsheet/VBA Modelling in Finance Portfolio and Asset Management Analysis of Fixed-income Securities
	4 门选修课 4*Elective Course	5 门选修课 5 *Elective Course 5的一门专业必修课作为选修课)
选修课 Elective Courses	 当代中国金融问题与挑战 信用风险建模与产品 行为金融学 随机模型 另类投资 中国金融体系 银行与金融机构 市场微观结构与算法交易 Contemporary Issues in Chinese Financial Development (Practitioner Workshops) Behavioral Finance Stochastic Models Chinese Financial System Banks and Financial Institutions Microstructure and Algorithm Trading Credit Risk Modelling and Products Quantitative Risk Management Alternative Investment Internet Finance Machine Learning for Business 	 专题指导研究(毕业论文) 信息策略与管理 商业建模与最优化 大数据分析 创业公司融资 量化投资组合分析 宏观经济与金融市场 金融计量与应用 Directed Research in Finance Valuation Analysis Mergers and Acquisitions Emerging Companies Finance Quantitative Portfolio Analysis Finance with in Macroeconomy Financial Econometrics and Applications Information Strategy and Management Business Modelling and Optimization Mining Massive Datasets Theory of Statistics Data Visualization



申请要求 Application Requirements

申请人须具有大学本科学历,且平均分不低于 B^①,或同等学历。

同时,申请者必须符合英语语言② 要求(符合以下条件之一):

- 托福: 机考不低于 79 分;
- 雅思(学术类): 平均分不低于 6.5 分;
- GMAT: 语文(Verbal) 不低于 21 分;
- 获得由香港或英语国家及地区大学颁发的学位证书;
- 获得由香港或英语国家及地区颁发的专业资格证书;
- 或认可的其他等效证明。
- ① 一般情况下为 GPA3.0/4.0 或平均分 80 分
- ② 不接受网考语言成绩,例如不接受 TOEFL Home Edition、IELTS Indicator、GMAT Online、Duolingo 等。若由于客观不可抗力因素(如疫情等原因),请以该学年招生官方通知为准

A Bachelor's degree from a recognized university with an average grade of not lower than "B $^{\textcircled{1}}$ "; or equivalent.

All applicants must fulfill one of the following English Language Proficiency Requirements $^{\circ}$:

- TOEFL (normally not lower than 79 internet-based, TOEFL code of CUHKSZ: B429); or
- IELTS (Academic) (normally not lower than Band 6.5); or
- GMAT (Verbal) (normally not lower than 21); or
- Have a degree from a recognized university or obtained a recognized professional qualification awarded in Hong Kong or an English-speaking country or areas.
- ① Normally refers to GPA 3.0/4.0 or Average 80/100
- ② TOEFL Home Edition、IELTS Indicator、GMAT Online and Duolingo English Test are not acceptable as alternatives, unless University has a special announcement

申请流程与申请材料

Application Procedures and Required Documents

申请者必须登录官网在线申请系统(https://pgapply.cuhk.edu.cn/)在线进行申请及上传有关文件。申请费用为人民币 300 元,不设退款。

申请者需要提供以下支持文件:

- 本科或硕士学位证及毕业证,或在读证明;
- 成绩单(含评分细则及说明即 Grading System);
- 托福、或雅思、或 GMAT 语言成绩证明;
- 个人简历(英文,内附证件照);
- 个人陈述(英文);
- 至少两位推荐人信息(系统会自动发邮件至推荐人邮箱);
- 身份证复印件;
- 职业证书(如适用);
- 其他相关证明材料。

申请人应在截止日期前递交申请材料。招生委员会收到相关材料后,将予以审核并将发出 邀请,笔试及面试后确定是否录取。

Applicants should submit their applications and upload documents via the Online System for Applicants of Postgraduate Programmes (https://pgapply.cuhk.edu.cn/).

Applicants are required to pay a non-refundable application fee of RMB 300.

Required Documents:

- A copy of Bachelor's and/or Master's Degree Certificate and Graduation Certificate, or Student Status Certificate:
- A copy of Official transcript(s);
- A copy of TOEFL/IELTS/GMAT score sheet;
- Curriculum Vitae
- Personal Statement
- Two Reference Letters
- A copy of ID card
- A copy of Professional Certificates (if applicable)
- Other supporting documents (Honor certificates etc.)

Applicants shall submit their applications by the deadlines. Once the applicants' files are received, the Admission Panel will review them and render its decisions.

申请时间 Application Deadline

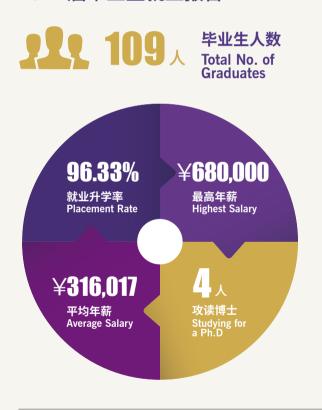
申请人应在截止日期前递交申请材料。招生委员会收到相 关材料后,将予以审核并发出考核邀请,考核后确定是否录取。 项目为滚动申请制,招满即提前关闭申请通道。 Shortlisted candidates will be invited to the assessment session. The channel might be closed before the closing date without further notification if the seats are already full.

轮次 Rounds	申请时间 Application Deadline	笔试时间 Written Examination Date	面试时间 Interview Date
1	2022.09.01 ~ 2022.10.13	2022.10.16	
2	2022.10.14 ~ 2022.12.04	2022.12.06	面试考核会在笔试考核通过
3	2022.12.05 ~ 2023.02.09	2023.02.12	之后邮件通知。 Interview will be arranged after
4	2023.02.10 ~ 2023.04.13	2023.04.15	the written assessment, please refer to the email notification.
5	2023.04.14 ~ 2023.05.31	2023.06.02	

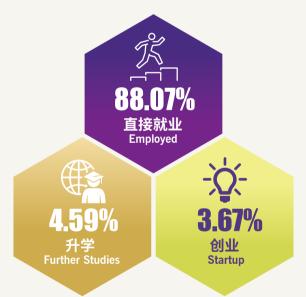
如果成功通过材料初筛,项目组会在开放申请后的每周五发送笔试邀请邮件。

Shortlisted candidates will be invited for the written assessment on every Fridays by email.

2021 届毕业生就业报告 Class Profile of 2021 Graduates



96.33% 2021 届毕业生总体就业率 Placement Rate



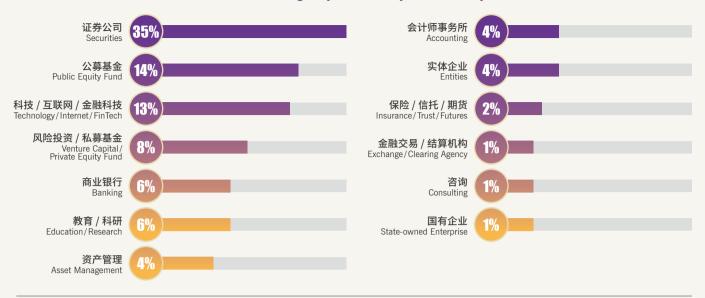
2021 届毕业生年薪数据 Annual Salary Data



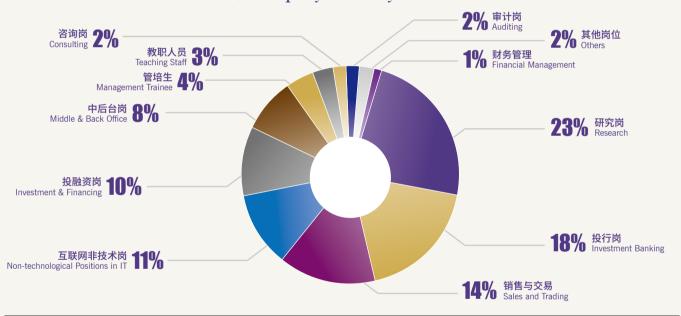
^{*} 年薪 = 基本薪酬 + 有保证的奖金 + 其他有保证的福利。截止于 7 月 15 日已确定就业薪酬的毕业生(占比 87.5%)

(单位:人民币)

2021 届毕业生就业行业分布 Employment by Industry



2021 届毕业生就业岗位分布 Employment by Function



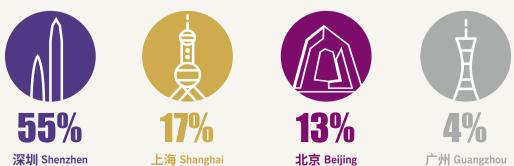
2021 届毕业生就业城市分布 Employment by Region

2021 届毕业生就业城市主要集中在深圳,其次是上海、北京与广州。

就业地点涵盖两个国家、十四个城市 / 地区。其中一名毕业生在新加坡就业,一名毕业生在中国香港就业。

The employment cities for our 2021 graduates are mainly concentrated in Shenzhen, followed by Shanghai, Beijing and Guangzhou.

Employment locations cover two countries and fourteen cities/regions. One of the graduates is employed in Singapore and one graduate is employed in Hong Kong, China.





中国人民大学

Renmin University

of China

2021 届毕业生部分就业企业 Employers of 2021 Graduates

香港中文大学 (深圳)

The Chinese University of

Hong Kong, Shenzhen

Fudan University

普华永道 PwC 德勤 Deloitte

中信证券 CITIC Securities
华泰证券 Huatai Securities
国泰君安 Guotai Junan Securities
海通证券 Haitong Securities
广发证券 GF Securities
中金公司 China International Capital Corporation (CICC)
中银国际证券 BOCI Securities
国信证券 Guosen Securities
基金公司
易方达基金 E Fund
南方基金 Southern Asset Management
博时基金 Bosera Fund
德邦基金 Topsperity Fund
招商基金 China Merchants Fund Management
平安基金 Ping An Fund
商业银行
招商银行 China Merchants Bank
中信银行 China Citic Bank
恒生银行 Hang Seng Bank
渤海银行 China Bohai Bank

证券公司

科技 / 互联网 / 金融科技
腾讯 Tencent
阿里巴巴 Alibaba
百度 Baidu
字节跳动 Byte Dance
微众银行 WeBank
Paypal Paypal
Garena Garena
蚂蚁集团 Ant Group
实体企业
华为 HUAWEI
厦门象屿 Fujian Xiamen Xiangyu
国有企业
深控投 Shenzhen Investment Holdings
教育 / 科研
新加坡国立大学 National University of Singapore
资本市场学院 China Capital Market Institute
深圳市外国语学校 Shenzhen Foreign Languages School

金融交易 / 结算机构

中国结算 CSDC

Xinjiang University



学费及奖学金 Tuition Fee and Scholarships

- 学制: 16 个月
- 学费: 课程费用总计人民币 288,000 元 (2023年入学)
- 入学奖学金将根据入学前的学业背景和工作背景综合评判; 学业奖学金将根据入学后的学业表现评判。
- Normative study period: 16 months
- The tuition fee for the entire programme (2023 entry)
- Merit-based entrance scholarships are granted to qualified candidates. Scholarships for Excellence will be awarded subject to satisfactory

学生感言 Students Testimonials



李铁铭 Tieming LI 香港中文大学(深圳)金融学博士项目在读 2018 级金融学理学硕士项目学生,香港大学学士

Class 2020 PhD Programme in Finance, CUHK-Shenzhen Class 2018 MSc FIN, Bachelor at The University of Hong Kong

金融硕士项目为同学们提供了高质量的教学。除了学习金融理论知识,还学习到了行业所需的核心专业技能,如 VBA 和 Python 编程。我们的项目提供了很多机会,如 FIN6108 课程和金融沙龙,让同学们有机会与许多业界大咖建立联系, 他们为我们的实习和工作机会也都提供了非常大的帮助。

The MSc Finance programme at SFI truly provides students with high-level training. Beyond learning Finance theory, I feel I'm now equipped with the hardcore professional skills required for the industry such as VBA and Python programming. Our programme presents great opportunities such as 6108 course and FINest Salon to network with many high-level professional practitioners who can open the door to valuable internships and job opportunities. You'll find your progress here will be a crucial stepping-stone towards your future success!



孙嘉晨 Jiachen SUN

2019 级金融学理学硕士项目学生,中国人民大学学士

Class 2019 MSc FIN, Bachelor at Renmin University of China

坐落于中国创新之城的深高金,为我们扩宽眼界提供了宽广的平台。对我而言,选择金融硕士项目,不光是为了向业 界和学术界知名的大牛老师学习,更是为了与下一代金融英才并肩成长。以就业为导向的研究生项目设置,为我们提供了 广泛丰富的实习资源。得益于阅历丰富的业界导师的带领,我可以深入了解当代中国的金融问题和挑战,认识更大的世界。 Located in China's most innovative city, SFI provides us with a wide platform to broaden our horizons. For me, choosing the MScFinance programme was a choice to learn from the most famous experts in the finance field and study with top professionals of the next generation. It's an employment-oriented graduate program, and SFI's MSc Finance programme delivers, by offering us so many internship opportunities. Guided by experienced mentors, I can take a deep dive into real world business challenges.





苏洁涵 Jiehan SU 2020 级金融理学硕士项目学生,清华大学学士

Class 2020 MSc FIN, Bachelor at Tsinghua University

金融市场的风格演进日益波诡云谲。经济学均衡所主宰的时代已经落幕;铺陈开的是前所未有之大变局与全方位的新挑 战。要想在嬗变中御风而行,继续深造以进一步更新认知体系就显得尤为重要。SFI 为学子提供了理论课程以及最前沿的行 业视角,通过向下扎根、向前发展的金融素质教育,帮助我们产生独立的原创性见解。

Finance evolves as the market steps into a more complicated situation. The era of grand equilibrium has gone; instead we are now faced with unprecedented challenges from all directions. In my view, updating the knowledge system through postgraduate studies becomes even more important if one wishes to ride the waves of changes. SFI provides students with theoretical courses as well as latest industry reviews, encouraging us to generate original insights with grounded, cutting-edge financial training.



丁晨轩 Chenxuan DING 2021 级金融学理学硕士项目学生,北京大学学士

Class 2021 MSc FIN, Bachelor at Peking University

目前金融行业发展已经进入了全新的阶段,从业者面临更多更复杂的挑战。随着中国的金融体系逐步开放,具备国际视 野、了解金融科技的金融人才越来越抢手,而这些都能够在位于深圳的金融项目中获得。港中大(深圳)有来自全球各地的 教授师资为你拓宽全球视野,同时让你掌握核心的金融实操能力。另外,作为一个就业导向的项目,深高金的 CDC 部门会 为同学量身打造未来的求职路线,并为同学提供大量的资源。在完成金融硕士项目后,你会充满能量地迎接未来的挑战。 At present, the financial industry has entered a new stage, and practitioners are facing more and more challenges. With the gradual opening of China's financial system, financial talents with international vision and understanding of financial technology are becoming more and more popular, which can be obtained in the MSc Fin at CUHK-Shenzhen. The University has professors from all over the world to broaden your vision and help you master the core financial practice ability. In addition, as an employment-oriented programme, the CDC of SFI will tailor the career path for each student and provide lots of resources. After completing the MSc Fin program, you will be ready to meet the future challenges.



姜帅宇 Shuaiyu JIANG 2022 级金融学理学硕士项目学生,北京大学学士

Class 2022 MSc FIN, Bachelor at Peking University

中国金融体系高速发展,如今的金融市场机遇与风险并存,也对金融专业的学生提出了更高的要求—— 的专业知识,又需要积累丰富的业界实践经验。金融硕士项目师资力量雄厚,又同业界保持紧密联系。在这里既可以跟随教 授学习最前沿的金融理论,也可以在业界导师的引领下了解金融市场的最新动向。深圳是中国对外开放的窗口,深高金是世 界认识中国金融体系的平台,相信我们一定会在项目中受益匪浅,成为自己梦想中的样子。

With the rapid development of China's financial system, opportunities and risks coexist in the market, which puts forward higher requirements for students majoring in Finance. They need not only to have a good command of professional knowledge, but also to accumulate rich practical experience in industry. MSc in Finance provides comprehensive curriculum and keeps close contact with the industry, which makes it possible for students to learn frontier knowledge from outstanding professors as well as understand the financial market under guidance of industry tutors. Shenzhen is the window of China's opening to the whole world, and SFI is the platform for the world to understand China's financial system. I believe we will benefit greatly from this program and become the image of our dreams.

联系我们 Contact Us

(86) 755 2337 2680 mscfinft@cuhk.edu.cn

https://msfin.cuhk.edu.cn/

地址 Address

中国广东省深圳市龙岗区龙翔大道 2001 号 香港中文大学(深圳)教学楼 A 522-526(邮编 518172) Room 522-526, Teaching A, 2001 Longxiang Boulevard, Longgang District, Shenzhen, China 518172



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国际交流 海外学习机会

意大利 米兰理工大学 国际社会大学 自由大学 帕多瓦卫普尔学学 布鲁次大学中士 等高文学学学 有鲁次文管理学院 鲁鲁汶大管理学院 各自文文管理学院 各自文文管理学院 各种格尼里,一次一个人等的。 一个人,一个人,一个人,一个人,一个人,一个人,一个人,一个人,一个人,一个人,			交换项目
Name		意大利	米兰理工大学
比利时			国际社会科学自由大学
 市舎塞家 (142 207 ()
● 次大学		比利时	
(德国)			112121
徳国 科隆大学 柏林洪堡大学 根本 根本 科隆大学 根本 根本 科隆大学 根本 根本 和本 和本 和本 和本 和本 和本			
放		徳田	1,711-13,770
感別 該国 基別 北方高等院 图内在新学院 图图商学院 挪威 振成经济管理学院 波兰 华沙经济学院 万兰 阿尔托大学 四加內系。等院 不完等等院 A高等院 任罗斯 有兰 格勒宁 大学 基西哥 基内 基西哥 基本 中本 中本 中本<		德 国	
欧洲 法国 康斯坦茨夫学 康斯坦茨夫学院 根方高等等院 图内在第一学院 图图商学院 图图商学院 理威经济学院 报处经济学院 阿尔托大学学院 对上工工 至如此之大学 在工工 基本 基本 中央 专生 中央 有主 格勒宁根大学 基本 中央 基本 市域科大学等 基本 中央 中本 早超 中本 早超 中本 早超 中本 早期 市域社会 中央 市域社会 中央 中央 中央 市域会 中央 市域会 中央 市域会 中央 市域会 中央 市域会 中央 中央 中央 中央 中央 中央			
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图卢兹商学院 雷恩商学院 里易商学院 里易商学院 里易商学院 里易商学院 理易商学院 理易商学院 排滅 华沙经济学院 阿尔加仑大学 日内瓦高商学院 洛桑高等院院 俄罗斯 新经济学院 俄罗斯与根大学 特別 基西哥	-L201	法国	
期處 挪威经济管理学院 波兰 华沙经济管理学院 次之 华沙经济学院 阿尔托大学 阿尔托大学 日內瓦高級国际关系学院 洛桑高等院 新经济学院 俄罗斯国立高等经济研究大学 格勒宁根大学 横型 德州大学达拉斯分校管理学院 墨西哥 墨西哥 李班哲大学 韩国 浦项科技大学 梨花女子、学 青山学屋商科大学 东洋大学 关西学院大学 地区	欧洲		
##成			雷恩商学院
### ### ### ### ### ### ### ### ### ##			里昂商学院
###		挪威	挪威经济管理学院
瑞士 圣加仑大学 日内瓦高级国际关系学院 洛桑高等商学院 新经济学院 俄罗斯国立高等经济研究大学 荷兰 格勒宁根大学 美国 德州大学达拉斯分校管理学院 墨西哥 墨西哥自治大学 西亚 土耳其 萨班哲大学 韩国 浦项科技大学 梨花女子学 早稻田大学 日本 早稻田大学 日本 早稻田大学 名古屋商科大学 东洋大学 关西学院大学			华沙经济学院
日内瓦高级国际关系学院 洛桑高等商学院 洛桑高等商学院 新经济学院 俄罗斯 面立高等经济研究大学 杨勒宁根大学 集四哥 德州大学达拉斯分校管理学院 墨西哥 是西哥 是西哥自治大学 基西哥 基西哥自治大学 基本 中班哲大学 早稻田大学 早稻田大学 早稻田大学 青山学商科大学 名古屋和大学 名古屋和大学 名古屋和大学 名古屋和大学 名古屋和大学 名古屋市大学 名古屋市大学 名古屋市大学 名古学院大学 名古屋市大学 名田村大学			
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韩国 浦项科技大学 梨花女子大学 日本 早稻田大学 青山学院大学 地区 名古屋商科大学 东洋大学 关西学院大学	天/川	墨西哥	墨西哥自治大学
型	西亚	土耳其	萨班哲大学
日本 早稻田大学 青山学院大学 名古屋商科大学 地区 东洋大学 关西学院大学		韩国	
・			梨花女子大学
<u> 业</u> 太		日本	早稻田大学
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马来西亚 马来亚大学		马来西亚	与米业大字

交流项目		
欧洲	英国	约克大学 南安普顿大学
美洲	美国	威斯康星大学麦迪逊分校 加州大学伯克利分校(BHGAP) 加州大学伯克利分校(BGA) 加州大学戴维斯分校

^{*} 请注意,持续时间可能每年都有变化。 有关最新信息,请参阅合作院校的官方网站。

		境外暑期项目
欧洲	英法德 比丹荷西匈国 国 时 牙利	剑桥大学彭布罗克学院暑期项目 华威大学 埃克塞特大学 牛津大学 伦敦政治经济学院 剑桥大学彭布罗克学院线上暑期研究项目 思克莱斯特学 图卢兹等等院 巴黎高等等院 巴黎高等等院 由林和洪堡大学 安特卫业大学 安特工业长学 安特哈等等院 乌得勒支大学 西班牙 Esade 商学院 佩奇大学
美洲	美国	波士顿大学 加州大学洛杉矶分校 加州大学伯克利分校 威斯康辛大学麦迪逊分校暑期项目 -Traditional 加州大学圣迭戈分校 加州大学尔湾分校 米纳斯吉拉斯联邦大学
西亚	土耳其	萨班哲大学
亚太 地区	日本 新加坡 泰国 韩国	关西大学 青山学院大学 关西学院大学 上智大学 新加坡国立大学 - 人文社科学院暑期项目 南洋理工大学 玛希隆大学 (国际学院) 汉阳大学 (首尔校区) 韩国科学技术院 - 暑期课程项目 韩国科学技术院 - 暑期研究项目 首尔大学 高丽大学 浦项科技大学 梨花女子大学





International Exchange study-abroad opportunities

	Excl	nange Programme
	Italy Belgium	Politecnico di Milano Luiss Libera Università Internazionale degli Studi Sociali Guido Carli University of Padua University of Antwerp Solvay Brussels School Economics & Management, Université Libre de Bruxelles
	Germany	KU Leuven Louvain School of Management, Université catholique de Louvain University of Cologne Humboldt-Universitä zu Berlin Technical University of Munich University of Konstanz
Europe	France Norway	EDHEC Business School Toulouse Business School Rennes Business School emlyon Business School NHH Norwegian School of Economics
	Poland Finland Switzerland	SGH Warsaw School of Economics Aalto University (School of Business) University of St. Gallen Graduate Institute of International and Development Studies HEC Lausanne, the Faculty of Business and
	Russia Netherlands	Reconomics of the University New Economics School National Research University Higher School of Economics University of Groningen
	US	University of Texas at Dallas-Naveen Jindal
Americas	Mexico	School of Management Instituto Tecnológico Autónomo de México (ITAM)
Western Asia	Turkey	Sabancı University
Asia Pacific	South Korea Japan	Pohang University of Science and Technology Ewha Womans University Waseda University Aoyama Gakuin University Nagoya University of Commerce and Business Toyo University Kwansei Gakuin University
	Malaysia	Sophia University University of Malaya

International Summer Programme		
Europe	France Germany Belgium Denmark Netherlands Spain Hungary	University of Cambridge Pembroke College Summer Program University of Warwick University of Exeter University of Oxford The London School of Economics and Political Scienc Pembroke College, University of Cambridge Online Summer Research Programme Strathclyde Business School, University of Strathclyde Lancaster University Toulouse Business School HEC Paris Rennes School of Business Technische Universität Berlin Humboldt-Universität zu Berlin University of Antwerp Copenhagen Business School University of Utrecht ESADE Business School University of Pécs
Americas	US Brazil	Boston University University of California, Los Angeles University of California, Berkeley University of Wisconsin-Madison Traditional VISP Track University of California, San Diego University of California, Irvine Universidade Federal de Minas Gerais (UFMG)
Western Asia	Turkey	Sabancı University
Asia Pacific	Japan Singapore Thailand South Korea	Kansai University Aoyama Gakuin University Kwansei Gakuin University Sophia University National University of Singapore FASStrack Asia Nanyang Technological University Mahidol University International College Hanyang University (Seoul Campus) Korea Advanced Institute of Science and Technology Track 1: Course-oriented Program Korea Advanced Institute of Science and Technology Track 2: Individual Research Program Seoul National University Korea University Pohang University of Science and Technology Ewha Womans University

Visiting Program		
Europe	UK	University of York University of Southampton
Americas	US	University of Wisconsin-Madison UC Berkeley, Berkeley-Haas Global Access Business Program (BHGAP) UC Berkeley, Berkeley Global Access Program (BGA) University of California, Davis

^{*} Please be aware that the duration may change every year. You may refer to official website of partner institutions for the updated duration.



Career Development Center

职业发展中心

简介 Introduction

プス 高金职业发展中心为学生提供全方位,成体系,人性化的就业 **/木** 指导服务,贯穿职业定位,职业规划,实习推荐,工作申请和 面试支持等环节。中心致力于提升学生的综合能力和就业竞争力, 梳理学生职业选择,组织职业辅导课程,搭建校企合作平台,帮助 学生轻松步入社会,实现职业梦想。

The Career Development Center of SFI provides students with allround, systematic and personalized employment related services, covering all aspects including career positioning, career planning, internship recommendation, job application and interview support. The Center is committed to improving students' comprehensive qualities and employabilities, assisting students in career decisions, organizing joboriented courses, and building internship and employment platforms to facilitate students enter the society and realize their career dreams.

就业服务 Career Services

提供职业测评 Offers Professional Assessments

CDC 为学生提供最好的职业测评工具,挖掘学生内在的职业兴 趣和价值观,根据综合评估帮助学生找到自己的职业方向。

The CDC provides students with the best professional assessment tools, explores their internal professional interests and values, and helps students find their career directions based on the comprehensive evaluations.

安排职业发展工作坊 **Arranges Career Development Workshops**

CDC 为学生提供一整套完善的职业发展课程,力求通过多种互 动学习方式帮助学生明确职业规划,提高求职技巧和职业素养。

The CDC provides students with a comprehensive set of career development courses, and strives to help students clearly plan their careers and improve their job search skills and professionalism through a variety of interactive learning methods.

开发雇主资源 **Develops Employer Resources**

CDC 通过多种渠道提供最新的就业机会信息,并利用香港中文 大学和深圳高等金融研究院强大的校友资源,为学生开发专属的实 习和就业机会。

The CDC provides the most updated job information through various channels, and utilizes the powerful alumni resources of the Chinese University of Hong Kong and Shenzhen Finance Institute to develop exclusive internship and employment opportunities for students.

提供"一对一"职业咨询 Provides "One-to-One" Career Counseling

CDC根据个人特点和需求为学生提供个性化的一对一职业咨询。 服务包括确定职业方向、修改简历、模拟面试和推荐合适的实习机会。

The CDC provides students with personalized one-on-one career counseling based on individual characteristics and needs. The services include career advisory, CV modification, interview simulation and internship

举办行业分析和技能培训课程 Hosts Industry Analysis and Skills Training Sessions

CDC 邀请各行业专业人士和校友分享行业趋势和职业经验, 帮助学生了解各个行业的特点和人才需求。

The CDC invites industry professionals and alumni to share industry trends and career experiences to help students understand the characteristics of various industries and talent requirements.

组织招聘活动 **Organizes Various Recruitment Activities**

CDC 定期举办秋季和春季招聘会、校园宣讲会、重要雇主讲座, 并协助推进整个招聘流程。

The CDC hosts regular Fall and Spring Career Fairs, campus presentations, key employer talks and facilitates the whole recruiting processes.

组织公司参访

Facilitates Company Visits

CDC 组织学生前往深交所、四大会计师事务所、平安银行、腾 讯等高端企业和机构,帮助学生深入了解相关企业,探索潜在的职 业道路。

The CDC organizes students to visit top companies and institutes such as the Shenzhen Stock Exchange, the big four accounting firms, Ping An Bank, Tencent to help students understand relevant companies in depth and explore different career paths.

举办"与实践者对话"研讨会 Hosts "Talks with Practioners" Seminars

CDC 邀请校友、行业高管和从业者就职业成功、人生感悟、 行业见解和建议等话题与学生深入探讨。

The CDC invites alumni, industry executives and practitioners to explore in depth with students on topics such as career success, life perceptions, industry insights, and career advices.

制作学生简历

Makes Student Resume Book

CDC 为所有全日制硕士生整理编辑学生简历册,提供给重点用 人单位,方便他们选拔优秀学生。

The CDC edits collective resume books for all full-time master program students, and provides them to key employers for selecting outstanding students.

建立实习基地

Establishes Internship Bases

CDC 与企业开展全方位合作,在各类机构、企业建立实习基地, 为学生提供最合适的就业推荐机会。

The CDC cooperates with various institutions and enterprises to establish internship bases, and recommends suitable internship and job opptunities to students

推出校外职业导师计划 **Launches Mentorship Programs**

CDC 邀请不同行业、企业的精英组成多元化的校外职业导师群 体,为学生提供更有针对性、行业性、功能性的职业指导活动。

The CDC invites elites from different industries and enterprises to form diversified groups of career mentors to provide students with more targeted, industry-oriented and functional career guidance.

维护校友关系

Maintains alumni relationships

CDC 协助办理毕业和就业手续,跟进应届毕业生就业变化, 与校友保持良好关系。

The CDC assists in the procedures of graduation and career, and keeps tracks of graduates' career changes and maintains good relationships with alumni.









Through Learning and Temperance to Virtue

Graduate with a degree from a university ranked in the $top\ 50$ globally All courses taught in English

